# Part B MOE & CEIS Data Notes

# 2013-14 Reporting Year

This document provides information or data notes on the ways in which states collected and reported data differently from the Office of Special Education Programs (OSEP) data formats and instructions. In addition, the data notes provide explanations of substantial changes or other changes that data users may find notable or of interest in the data from the previous year.

# <u>Alaska</u>

The difference between the sum of the LEA/ESA allocations and the total FY 2013 619 award represent the state set-aside for 619 administration and discretionary grants.

# American Samoa

American Samoa does not receive 619 funds.

### <u>Arizona</u>

- (1) Charter Schools, Secure Care, Union High School Districts and State agencies are not eligible for 619 "Preschool" allocations.
- (2) Leona Advanced Virtual Academy changed the name from Montezuma Public Charter Middle School to Leona Advanced Virtual Academy
- (3) Stanfield Elementary will carry forward \$4,406.66 onto their FY 16 application. Sanders Elementary will carry forward \$5,642.72 onto their FY 16 application.
- (4) The difference in 611 allocations reported in prior year Table 8 and current Table 8 is (\$2.40). This is the result of the table rounding up and down.
- (5) The difference in 619 allocations reported in prior year Table 8 and current Table 8 is +\$0.06. This is the result of the table rounding up and down.
- (6) The 619 award includes state level administration and state set aside funding. The allocations represent more than the "minimum flow-thru to LEAs". The state did not keep/access the maximum state set aside. Instead we added the balance of \$231,030.00 to the LEA allocations. "NA" was reported for numerous LEA/ESAs. Charter Schools, Secure Care, Union High School Districts and State agencies are not eligible for 619 "Preschool" allocations.
- (7) For New Charter LEAs no prior year data/info was available in order to make a determination; The SEA does not make a determination for State Agencies at this time. There are several LEAs that are transporting LEAs only; the SEA does not make a determination for these LEAs.

#### **MOE Reduction & CEIS Data Notes**

#### **Connecticut**

Connecticut's FFY 2012 611 funds reported in the previous year do not match the FFY 2012 611 funds reported in the current year for four LEAs. The 611 funds reported for these districts in the current year are correct.

- (1) For three LEAs (East Hampton School District, Hamden School District and Middletown School District), the funds reported in the previous year were incorrect due to human error.
- (2) For one LEA, Regional School District 01, there has been a change in how funds are reported. Historically, the funds have been divided among the smaller districts included in the region, while the regional school district is the fiscal agent. For the current year forward, Connecticut will be reporting funds only at the regional school district level for two of its districts (Regional School District 01 and Regional School District 11). These specific regional school districts are structured differently than the other regional school districts in Connecticut and therefore Connecticut believes this method of reporting is more accurate for these two districts.

Connecticut's FFY 2012 619 funds reported in the previous year do not match the FFY 2012 619 funds reported in the current year for one LEA (Canton School District). The funds reported in the previous year were incorrect due to human error. The 619 funds reported for this district in the current year are correct.

There are seven districts for which Connecticut reported total LEA/ESA allocation for Section 619/611 of IDEA FFY 2012 in the previous year, but reported NA in the current year. See explanation (2) above.

There are two districts for which Connecticut reported NA for the total LEA/ESA allocation for Section 619 of IDEA FFY 2012 in the previous year, but reported an amount in the current year. See explanation (2) above.

The following are the regional school districts (fiscal agents) and associated school districts (for which funds will no longer be reported separately):

Regional School District 01: Separate funds will no longer be reported for Canaan, Cornwall, Kent, North Canaan, Salisbury and Sharon School Districts.

Regional School District 11: Separate funds will no longer be reported for Chaplin.

# <u>Florida</u>

The data submitted on July 29, 2015 represents the most accurate information. One district (Glades) had received and amended award due to a student with intensive needs for which the district incurred additional expenses.

#### <u>Indiana</u>

The 619 allocations reported for the LEAs appear larger than the actual allocation because the state schools are not funded from pass-through amounts. State schools receive their allocations out of discretionary dollars. So, when the allocations on the spreadsheet are added together, the discretionary amounts are added to the pass-through amounts.

The state schools in Indiana do not receive determinations because all student data is assigned to the corporation of legal settlement. Therefore, all data for the students attending the state schools is included in the data of their "home school" and not the state school.

### lowa

619 Allocation—Financial amounts submitted are correct. No local district in Iowa receives Section 619 funding, only Intermediate Education Units (Area Education Agencies).

611 Allocation—The total Part B Flow through (State Total) is \$106,526,59 for the FFY 2012 (2012-13). That total doesn't change. The changes in amounts and number of districts were the result of mergers that occurred (4 LEAs into 2 on 7/1/13). The individual districts and dollars were merged into the new district. The 2012 listing had the pre-merged districts and the 2013 deleted the old district that merged into the remaining district on the most recent list. The 2012 amounts for the deleted LEAs were combined with the remaining LEA to more accurately calculate MOE amounts (if any). On July 1, 2013 Forest City and Woden-Crystal-Lake merged and retained the identifiers for Forest City. Also on that date East Central and Preston merged and retained the identifier of East Central (name change to Easton Valley). This merging of districts in Iowa is likely to continue for the next several years.

# <u>Kentucky</u>

In the 2013-14 school year, Kentucky was awarded \$9,552,486 for its 619 award. Of that, they were required to flow through \$6,915,095 to LEAs. Kentucky actually flowed through more than the minimum amount at \$7,286,710. The remainder was used for the allowable state set-aside.

# <u>Minnesota</u>

The remaining 619 allocation not reflected in Table 8 covers grant administrative and discretionary costs including regional center staff FTEs to provide professional development to LEAs, professional development and technical assistance provided to LEAs through the state's regional low incidence facilitators, the higher education consortium which also provides professional development, and other professional development initiatives.

Districts can use CEIS voluntary reserved funds for professional development initiatives that involve the planning phase of tiered models of intervention such as Response to Intervention (RtI) and Positive Behavioral Intervention.

#### <u>Montana</u>

The districts that did not receive either a 611 or 619 sub-grant for both FFY2012 and FFY2013 are districts that were non-operating at that time. They also did not have base allocations, as they did not have an allocation in the year specified in IDEA as the "base year" (FY1999). However, per Montana statute, these districts remain in our Allocation program for 3 years in a "non-operating" status, as they may re-open at any time in that 3 year period. The districts that did not have a 619 allocation are districts that serve only high school students, so do not have a requirement to serve students who fall under 619.

# <u>Nevada</u>

Nevada's 619 data are accurate for FFY2013. The difference between Nevada's allocation of \$2,065,502 and the \$1,559,088 allocated to LEAs reported in Nevada's MOE/CEIS data submission is attributed to allowable administration, state set-aside, and \$25,758 allocated to a new LEA for whom FFY2013 was the first year of data. This LEA will be added to Nevada's MOE/CEIS report for FFY2014.

#### New Jersey

New Charter Schools that are not eligible for 619 funds in 2013-14 do not service students ages 3–5.

### North Carolina

The Steam Academy (NCESID 3700026) closed at the end of the 12–13 school year.

Kinston Charter Academy (NCESID 3700134) closed early in the 13–14 school year.

#### <u>Ohio</u>

Ohio's reported allocation for 619 didn't match data in our Table 8.

Our 619 allocation for that year was \$11,597,261. The minimum we were required to allocate was 8,384,836; Ohio- MOE and CIES report FFY 2013 SY 2013–2014 V4 column A3B the total allocation for 619 was \$8,418191.16.

This amount is more than the minimum and was allowable. Ohio OEC is aware that the allocation and amount awarded is different.

#### <u>Oklahoma</u>

There were no duplicate LEAs entered on the report. Several LEAs have the same name as another LEA in the State, but they are located in a different county and have a unique NCESID. An error was made by the OSDE by inappropriately pairing NCESIDs with similar LEA names. This made it appear that there were discrepancies in allocations and that duplicates were entered. Corrections are as follows: Grove (Reported 4013500 but should have been entered 4013530); Grove (Reported 4013530 but should have

been entered 4013500); Wilson (Reported 4032940 but should have been entered 4032880); Wilson (Reported 4032880 but should have been entered 4032940). All other similar named LEAs have the correct NCESID. Allocation amounts are correct when paired with the correct NCESID and LEA.

Last year most charter schools were reported under the parent district, and in many cases, the parent NCESID was entered for the charter school. This year the OSDE-SES provided the unique NCESIDs for each charter school. These charter schools were affected: Dove Science Academy, Santa Fe South Elementary, Santa Fe South Middle, Santa Fe South High, Aztec Charter, KIPP Reach, Epic One-on-One; Tulsa School of Arts; Deborah Brown; Harding Fine Arts; KIPP Tulsa Academy; and Harding Charter.

### <u>Oregon</u>

In 2013, Oregon received a 619 grant of 3, 576,291.00 with a minimum flow-through amount of 2,586,078. Oregon exceeded the minimum flow-through and distributed \$2,630,912.00. This represents an increase of 1.73%.

### Rhode Island

At the time of the MOE Reduction/CEIS data submission, the SEA had not yet completed the determination for each LEA/ESA's compliances within the SY 2013/2014 MOE requirement.

### South Carolina

"NA" was reported for the Total LEA/ESA allocations for Section 611 FFY 2013 (A2B) for Wil Lou Gray Opportunity School. This school (ID # 4503906) submitted a zero child count of their student population with disabilities for the FFY13/SY2013–14, and therefore did not receive any 611 allocation funds for FY13/SY2013-14.

"NA" was reported for the Total LEA/ESA allocations for Section 619 FFY 2012 (A3A) for multiple LEA/ESAs. These schools (ID #'s 4500001, 4500003, 4500004, 4503420, 4503903, 4503904, and 4503906) are State Operated Programs that do not have any preschool age students attending at any time throughout the year. These LEA/ESAs do not receive 619 funds.

# South Dakota

According to the instructions for 619 funds, the state should round to the nearest dollar. South Dakota Flow through Allocation was \$992,084 for federal fiscal year 2013. South Dakota 619 (rounded to nearest dollar) actually expended \$ 992,074. There is only a \$10 difference and these funds will be carried over for next fiscal year.

# <u>Utah</u>

- 1. No value was reported for Total LEA/ESA allocations for Section 619 FFY 2012 (A3A) for the following reasons:
  - a. LEAs were not authorized by the Charter Authorizing Entity to provide services to students age 3–5 during SY2012–2013 (FFY2012): NCES ID #4900009, 4900012, 4900013, 4900017, 4900018, 4900019, 4900020, 4900023, 4900036, 4900037, 4900039, 4900050, 4900052, 4900056, 4900063, 4900067, 4900123, 4900124, 4900125, 4900131, 4900138, 4900148, 4900151, 4900154, 4900155, 4900156, 4900157, 4900158, 4900159, 4900164.
  - b. LEAs were not authorized by the charter authorizing entity to open until SY2013–2014 (FFY2013): NCES ID#4900160, 4900162, 4900165, 4900166, 4900171.
  - c. LEAs are authorized to enroll students age 3–5, but had not enrolled any students with disabilities age 3–5 prior to SY2012–2013 (FFY2012). NCES ID#4900016, 4900021, 4900024, 4900034, 4900035, 4900041, 4900051, 4900065, 4900118, 4900145, 4900146, 4900158, 4900159.
- 2. No Value was reported for Total LEA/ESA allocations for Section 619 FFY 2013 (A3B) for the following reasons:
  - a. LEAs were not authorized by the Charter Authorizing Entity to provide services to students age 3–5 during SY2013–2014 (FFY2013): NCES ID #4900009, 4900012, 4900013, 4900017, 4900018, 4900019, 4900020, 4900023, 4900036, 4900037, 4900039, 4900050, 4900052, 4900056, 4900063, 4900067, 4900123, 4900124, 4900125, 4900131, 4900138, 4900148, 4900151, 4900154, 4900155, 4900156, 4900157, 4900158, 4900159, 4900164.
  - b. LEAs are authorized to enroll students age 3-5, but had not enrolled any students with disabilities age 3–5 prior to SY2013–2014 (FFY2013). NCES ID# 4900065,4900118.
- 3. No Value was reported for Total LEA/ESA allocations for Section 611 FFY 2012 (A2A) for the following reason:
  - a. LEAs were not authorized by the charter authorizing entity to open until SY2013–2014 (FFY2013). NCES ID#4900160, 4900162, 4900165, 4900166, 4900171.
- 4. No Value was reported for the determination under 34 CFR § 300.600(a)(2) that controls whether the LEA may be able to reduce MOE during SY 2013–14 (B2) for the following reason:
  - a. LEAs were not authorized by the charter authorizing entity to open until SY2013–2014 (FFY2013) and therefore did not receive a determination status in SY2012-2013 (FFY2012): NCES ID#4900160, 4900162, 4900165, 4900166, 4900171.

- 5. Other data notes:
  - a. a. LEAs were authorized by the charter authorizing entity for "significant expansion". These LEAs added two or more grade levels, or increased enrollment by 25% or more. As a result of the significant expansion, these LEAs received substantially more IDEA funds in FFY2013 than was provided in FFY2012. NCES ID#4900005, 4900023, 4900041.
  - b. LEAs experienced an increase in population and/or poverty counts between SY2011–2012 and SY2012–2013 that had a significant impact on their allocation for FFY2013. This growth was within enrollment limits set by the charter authorizing entity but did not rise to the level of significant expansion or require approval from the charter authorizing entity. NCES ID#4900035,4900061,4900154,4900155.
  - c. One LEA allocation of 619 funds for FFY2012 was incorrectly reported in the prior year submission due to a typo. The amount showing in the current report is correct. NCES IDS# 4900390.

# <u>Vermont</u>

Data note for Essex North Supervisory Union: If significant disproportionality is not identified and an LEA chooses to use funds for CEIS, the LEA may use up to the maximum amount allowed for CEIS (15 percent) and may reallocate any unspent funds during the time that the funds are available for obligation. Vermont is a State that requires an IDEA-B grant for each fiscal year using the funds made available for the current fiscal year as well as carryover from previous fiscal years. Since this LEA was not identified with significant disproportionality and unspent CEIS funds may be reallocated during the 27 months that the funds are available for obligation, the amount budgeted for CEIS exceeds 15 percent of the current year's allocation.

#### Virgin Islands

An LEA reserved slightly more than 15% of 611 funds for voluntary CEIS for school year 2013–2014 to support the additional established program goals and objectives, which includes a wider scope of services.

#### West Virginia

West Virginia's total award for IDEA – Part B, Section 619 for FFY13 was \$3,258,424. Our maximum state set-aside was \$899,633, of which we actually set-aside \$772,903. This left \$2,485,521 available to be distributed to the LEAs.

West Virginia's Minimum Flow-Through to LEAs of Part B – Section 619 according to Table II – "Fiscal Year 2013 Allocations for Preschool Grants, Individuals with Disabilities Education Act – Part B, Section 619" was \$2,358,791. The Base Allocation for LEAs Flow-Through, based on the 1997 Flow Through amount, was \$2,485,521.

Rather than make a ratable reduction in the flow-through to LEAs, West Virginia chose to set aside less than the maximum allowed for State Set-Aside and add this amount to the Minimum Flow-Through to LEAs to bring these allocations to the Base Payment amount.

#### <u>Wisconsin</u>

In Wisconsin, the amount of fiscal year 2013 preschool grants, Part B, Section 619, allocated to eligible sub-recipients totaled \$6,413,292. This is the amount that was awarded to Wisconsin as the minimum amount of funds that were required to flow-through to the LEAs, per the 2013 Allocations.